This Licensed Start-up Company (LSC) Information Submission Form (ISF) must be completed and submitted to the Conflict of Interest Committee (COIC) when one or more University Members intend to create a company that will option or license technology from the University of Pittsburgh. University Members are full-time faculty and other individuals who are engaged in University research, including students.

**This ISF should be completed when plans to create the company and execute an option or license agreement are imminent.** Information provided in this ISF must be typed.

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# Company Information

***Sections 1 through 7 are to be completed by a University Member who will serve as a founder of the company.***

## Section 1: General Information

Provide the following information regarding the company and its Chief Executive Officer (CEO) (or equivalent):

|  |  |
| --- | --- |
| Full Legal Name of Company |  |
| Address |  |
| CEO (or equivalent) Name |  |
| CEO (or equivalent) Phone Number |  |
| CEO (or equivalent) Email Address |  |
| Date Company Created (or pending) |  |

## Section 2: University Members

Provide the name, University title and department affiliation of all University Members who will serve as founders of the company, own equity in the company or hold any consulting, advising, officer, manager, or Board of Directors positions in the company.

|  |  |  |
| --- | --- | --- |
| **Name** | **University Title** | **University Department** |
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## Section 3: University Members’ Immediate Family Members

If a University Member’s Immediate Family Member (e.g., spouse, domestic partner, or dependent) is affiliated with the University but will **not** have any relationship with the company, provide their name, University title, and department affiliation. Also indicate their relationship with a University Member who is listed in Section 2.

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **University Title** | **University Department** | **Relationship with University Member** (Name/How Related) |
|  |  |  |  |
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## Section 4: MyDisclosures

**All University Members and Immediate Family Members listed in Sections 2 and 3 must disclose their (or their family member’s) existing or proposed financial interests in or relationships with this company in** [**MyDisclosures**](http://www.mydisclosures.pitt.edu)**.** The COIC will initiate its required review for a new LSC only after this ISF and all disclosures have been submitted. For assistance with this requirement, email [MyDisclosures\_Support@pitt.edu](mailto:MyDisclosures_Support@pitt.edu).

## Section 5: Funding

Provide the total amount of funding the company has received to date or anticipates it will receive in the near future and indicate the source of funds (e.g., friends and family, venture capital, grant).

## Section 6: Business Plan

Provide a brief description of products or services to be developed and sold by the company and explain how the company will utilize the technology it intends to option or license from the University.

## Section 7: Related University Research

Provide a list of ***active and proposed*** University research that is related to the company. This includes, but is not limited to, research that:

* Is sponsored by the company or funded by the company through a federal or other subaward (i.e., SBIR/STTR)
* Evaluates, develops, or could affect the value of the technology the company intends to option or license
* Is of commercial interest to the company

|  |  |  |  |
| --- | --- | --- | --- |
| **Research** (Include all relevant award numbers and titles, MyFunding award numbers, or IACUC and IRB protocol numbers.) | **Funding Source** | **How is this research related to the company?** (See above bulleted list for examples.) | **Active or Proposed** |
|  |  |  |  |
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# Technology

***Sections 8 through 10 are to be completed by a representative of the Innovation Institute.***

## Section 8: Description

Provide a brief description of the technology or technologies to be optioned or licensed.

## Section 9: University Case Numbers

Provide the University case number(s), titles, and names of all University-affiliated inventors of the technology or technologies to be optioned or licensed.

|  |  |  |
| --- | --- | --- |
| **University Case Number** | **Title** | **University-affiliated Inventor(s) Name(s)** |
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## Section 10: Agreement

Indicate the type of agreement that is anticipated (e.g., Express License, Patent Cost Only Option) and provide details regarding any current or future equity the University will own under the terms of the agreement.

# Signature

**University Member and Founder**

I certify the information contained herein is accurate and complete to the best of my knowledge.

Name Date

**The completed and signed ISF should be emailed to the Conflict of Interest Division at** [**MyDisclosures\_Support@pitt.edu**](mailto:MyDisclosures_Support@pitt.edu)**.**