

COI Management Plans for Research: Standard vs. PI-Exclusion

TYPE OF RESEARCH	HUMAN SUBJECT		NON-HUMAN SUBJECT	
	Yes	No	Yes	No
Equity in and/or cash remuneration from a public company in aggregate greater than \$5,000 but not greater than \$10,000	Standard	N/A	Standard	N/A
Equity in and/or cash remuneration from a public company in aggregate greater than \$10,000 but not greater than \$20,000	Standard	Standard	Standard	Standard
Equity in and/or cash remuneration from a public company in aggregate greater than \$20,000 or 5% ^b	PI-Exclusion ^b	PI-Exclusion	Standard ^b	Standard
Remuneration from a non-public company greater than \$5,000 but not greater than \$10,000	Standard	N/A	Standard	N/A
Remuneration from a non-public company greater than \$10,000 but not greater than \$20,000	Standard	Standard	Standard	Standard
Remuneration from a non-public company greater than \$20,000	PI-Exclusion	PI-Exclusion	Standard	Standard
Equity in a non-public company	PI-Exclusion	PI-Exclusion	Standard	Standard
Management or officer position in any company	PI-Exclusion	PI-Exclusion	Standard ^c	Standard ^c
Inventor or developer of intellectual property with receipt of royalties or other proceeds greater than \$5,000 but not greater than \$10,000	Standard ^d	N/A ^d	Standard	N/A
Inventor or developer of intellectual property with receipt of royalties or other proceeds greater than \$10,000	PI-Exclusion	PI-Exclusion	Standard	Standard
Reimbursed or sponsored travel from one entity greater than \$5,000 but not greater than \$10,000	Standard	N/A	Standard	N/A
Reimbursed or sponsored travel from one entity greater than \$10,000	PI-Exclusion	N/A	Standard	N/A
CMP Delivery Method	DocuSign	DocuSign	Email	Email

^aThe Public Health Service (PHS) includes the National Institutes of Health and several other federal agencies. [Visit the COI website](#) for a complete agency list and additional resources.

^bRestrictions related to an ownership interest greater than 5% only applicable to projects not funded by the PHS.

^cRequires prior approval from the Senior Vice Chancellor for Research upon recommendation of the COI Committee for conflicted investigator to remain as PI of research sponsored by or of commercial interest to a [Licensed Start-up Company](#) as defined in [University Policy 11-01-03](#). If approval is denied, the PI-Exclusion management plan applies.

^dA CMP is required for research subject to the [FDA COI regulations](#) involving the evaluation or further development of intellectual property invented by the investigator, even if s/he has not received any royalties or proceeds.